

# The Lifetime SIPP

## Sophisticated Investor

Member Name:

Scheme Number:

National Insurance No:

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- (b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;
- (c) by signing this statement I may lose significant rights;
- (d) I may have no right to complain to either of the following:-
  - i. the Financial Conduct Authority; or
  - ii. the Financial Ombudsman Scheme;
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:-

- I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- I have made more than one investment in an unlisted company in the two years prior to the date below;  
Please give details of the investment
- I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions. I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Signed:

Date:

### Investment Manager or Financial Adviser

I certify that I

have carried out the relevant checks and have suitably

certified the above named client as a Sophisticated Investor.

Signed:

Date:

Company Name:

FCA Ref:

**Please return completed form to:**

**The Lifetime SIPP Company Ltd**, 8th Floor, 25 Marsh Street, Bristol, BS1 4AQ

Authorised and regulated by the Financial Conduct Authority 464526 and registered in England and Wales 02455807

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